IBRD/IDA/IFC/MIGA Policy

Independent Evaluation Group Mandate

Bank Access to Information Policy Designation
Public

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Content
This Policy sets out the mandate of the Independent Evaluation Group (IEG) and its functions.

Applicable to
IBRD, IDA, IFC, MIGA

Issuer
Boards, IBRD, IDA, IFC, MIGA

Sponsor
Chair, CODE
SECTION I – PURPOSE AND APPLICATION

1. This Policy sets out the mandate of the Independent Evaluation Group (IEG) and its functions.

2. This Policy applies to the World Bank Group.

SECTION II – DEFINITIONS

3. As used in this Policy, the capitalized terms or acronyms have the meanings set out below:

   a. Boards: the executive directors of each Institution.

   b. Budget Committee: Committee of the Board on budget related matters.

   c. CODE: Committee on Development Effectiveness.

   d. Core Work of the World Bank Group: the development and articulation of strategies, operational policies and procedures, operational work including all instruments, and activities at country, regional, and/or global levels that aim to serve member countries achieve development results.

   e. DGE: Director-General, Evaluation

   f. IBRD: International Bank for Reconstruction and Development.

   g. IDA: International Development Association.

   h. IFC: International Finance Corporation.

   i. IEG Directors: Staff appointed by the DGE to positions of director.

   j. Manager: A Staff identified as a manager in the Human Resources system.

   k. MIGA: Multilateral Investment Guarantee Agency.

   l. Staff: persons holding an appointment under Staff Rule 4.01, “Appointment”.

   m. World Bank Group or WBG: The common name used for concurrent identification of all the Institutions.

   n. Institution: Any one of the International Bank for Reconstruction and Development (IBRD), the International Development Association (IDA), International Finance Corporation (IFC), and Multilateral Investment Guarantee Agency (MIGA).
SECTION III – SCOPE

4. Mandate

   a. IEG is responsible for the assessment of the relevance, efficacy, and efficiency of World Bank Group operational policies, programs and activities, and their contribution to development effectiveness.

   b. IEG’s evaluations aim to enhance accountability and learning to inform the formulation of new (and course corrections of ongoing) Core Work of the World Bank Group.

   c. IEG reports to the Boards, and carries out its responsibilities and functions under the oversight of the Committee on Development Effectiveness (CODE).

5. Functions

   a. IEG carries out all independent evaluation work, and appraises the Institutions’ other evaluation systems and methods, including self-evaluation methodologies and results. Its main responsibilities include:

      • After consultations with external stakeholders, the Boards, and World Bank Group management, proposing strategic choices about the IEG work program, ensuring it is relevant and aligned with the Institutions’ key strategic priorities, overall resources, and with the main development challenges affecting its member countries.

      • Evaluating whether the World Bank Group Institutions’ Core Work is producing the expected results, including global, regional, and other programs in which the Institution(s) is a participant.

      • Incorporating evaluation assessments and findings into recommendations designed to help improve the development effectiveness of the World Bank Group’s Core Work, and their responsiveness to member countries’ needs and concerns. While IEG does not issue operational procedures and is not involved in implementing operational policies and procedures, its assessments and findings aim to reach specific conclusions and to issue recommendations that follow from the evaluation findings.

      • Reviewing the Institutions’ operational self-evaluations.

      • Reporting regularly to the Boards on actions taken by the Institution(s) in response to evaluation findings, and on the measures being taken to improve the overall operations evaluation system and enhance learning, including dissemination and outreach activities.

      • Identifying, disseminating and promoting the uptake of evaluation findings and lessons to maximize contributions to the World Bank Group’s learning and knowledge framework and reinforce learning and accountability within the World Bank Group in reference to achieving the corporate goals.
• Encouraging and assisting member countries to build effective monitoring and evaluation partnerships, capacities and systems.

b. IEG works closely with development partners and member countries in order to foster international evaluation harmonization, to develop evaluation capacity in member countries, and to encourage best practice in international development evaluation.

6. The Director-General

a. The Director-General, Evaluation (DGE) is responsible for all the functions performed by IEG, including for managing the personnel and budget of IEG, under the oversight of CODE and in consultation with the respective Vice Presidents responsible for Human Resources and budgets for each Institution. In exercising his/her role, the DGE follows the Institutions’ policies and procedures. IEG makes decisions independent from each Institution’s management.

b. The DGE reports directly to the Boards. The Boards appoint the DGE with a rank equivalent to that of Senior Vice President for a non-renewable six-year term. The selection process and performance review of the DGE are managed under the oversight of CODE with the advice of the World Bank Group Vice President, Human Resources. The DGE does not receive a performance rating. Only the Boards may remove the DGE, for cause.

c. S/he is ineligible for subsequent employment in the Institutions.

7. IEG’s Directors

a. IEG’s Directors assist the DGE in carrying out the IEG mandate and the functions as set out in this Policy.

b. The IEG Directors are selected by the DGE, who consults the World Bank Group Vice President, Human Resources, and the Chair of CODE on a non-objection basis. The IEG Directors are appointed for a non-renewable term of six years. IEG Directors may be removed only with just cause. The DGE conducts performance evaluation and salary review for the IEG Directors, with the oversight of the CODE Chair.

c. The IEG Directors are eligible for positions in the Institutions on or before completion of assignment in IEG. Throughout their assignment, the IEG Directors maintain the highest standards of independence, impartiality and integrity, and manage situations of conflicts of interest, including those related to their potential re-entry into the Institution(s), as specified in IEG’s Procedure to Manage Conflicts of Interest in Independent Evaluation.

8. IEG Staff

a. IEG Staff are organizationally independent from the Institutions’ management including their operational and policy departments and decision-making.
b. The IEG Directors are responsible for the selection, performance evaluation, salary review and promotion for IEG Staff, with the oversight of the DGE and in consultation with the World Bank Group Vice President, Human Resources.

c. The IEG Staff are eligible for positions in the Institutions on or before completion of assignment in IEG.

9. Work Program and Budget

a. IEG’s work programs and budgets are prepared independently from the Institution’s budgets, under the oversight of the DGE, for endorsement by CODE and the Budget Committee, and approval by the Boards. In developing its work program, IEG consults with management.

b. IEG’s evaluation reports are the responsibility of the Director responsible for the evaluation in question, under the oversight of the DGE, and are transmitted to the Boards through the DGE, following Institution(s)’ management review and opportunity to comment.

10. Access to, and Disclosure of, Information

a. IEG Staff have access to official records of the Institution(s) to enable their work as evaluators, in accordance with applicable Institutions’ policies and rules governing such access as determined by the Institution(s).

b. IEG Staff have access to the Institutions’ Staff in the course of their work as evaluators.

c. Relevant Institution(s) Managers facilitate visits by IEG Staff to project sites and meetings with client, partner and Government representatives.

d. Without compromising IEG’s independence, IEG Staff endeavors at all times to maintain close and continuing contact with Institution(s) Staff so that their views are adequately considered in IEG reports, and that the analyses and findings of these reports are understood.

e. IEG Staff use non-public information of the Institution(s) in accordance with each of Institution’s Policy on Access to Information, with any applicable policies and rules governing such use as determined by the Institution(s) and with the IEG Policy on Access to Information.

11. Conflict of interest

a. All IEG Staff are subject to the World Bank Group’s provisions on conflict of interest, as spelled out in the Principles of Staff Employment.

b. In addition, all IEG Staff have a special responsibility to avoid or manage situations that might lead to conflicts of interest that compromise the independence,
impartiality, and integrity of IEG’s evaluative work and are subject to IEG’s Procedure to Manage Conflicts of Interest in Independent Evaluation.

12. Coordination with Other Accountability Units

a. IEG coordinates its work program with the World Bank Group’s accountability units, to seek synergies and efficiencies.

13. Independence

a. Independence of evaluation is safeguarded through:

- Structural independence: IEG reports directly to the Boards (paragraph 4c),
- Institutional independence:
  - The DGE is responsible for all functions, including managing the personnel and budget of IEG (paragraph 6.a) under the oversight of CODE,
  - IEG’s work program and budget are approved by the Boards (paragraph 9.a)
  - IEG’s evaluations are disclosed in line with its Access to Information policies and in accordance with the policies on Disclosure or Access to Information of the applicable Institution(s) (paragraph 10.e.).
- Managerial independence: IEG’s DGE is appointed and removed by the Boards; and IEG’s staff is organizationally independent from the WBG operational entities (paragraphs 6.a., 7. and 8.).

SECTION IV – EXCEPTION

NA

SECTION V – WAIVER

The Issuers may waive any provision of this Policy.

SECTION VI – OTHER PROVISIONS

NA

SECTION VII – TEMPORARY PROVISIONS

NA
SECTION VIII – EFFECTIVE DATE

This Policy is effective as of the date on its cover page.

SECTION IX – ISSUER

The Issuer of this Policy are the Boards, IBRD, IDA, IFC, MIGA

SECTION X – SPONSOR

The Sponsor of this Policy is CODE

SECTION XI – RELATED DOCUMENTS

Terms of Reference of CODE

Staff Manual

IEG’s Guidelines to Manage Conflicts of Interest in Independent Evaluation

Procedure on Working Arrangements between IEG and WBG

IEG Access to Information Policy

World Bank Access to Information Policy

IFC Access to Information Policy

MIGA Access to Information Policy

ANNEX(ES)

NA

Questions regarding this Policy should be addressed to the Sponsor.